SEDAR+ MARCH 9 UPDATES Q&A SUMMARY

Please note: This Q&A summary is from Information Sessions presented in English.

Financial Disclosure Document Email Alerts

Question(s)	Answer
Where can we subscribe for the financial disclosure subscription emails?	On www.sedarplus.ca click 'Subscribe to financial documents alerts'.
Re: the email alerts, will more options be added in the future; for example, news releases?	Thank you for your feedback, we will consider those options in future updates.
Will signing up for this e-mail alert notify when decisions in applications are issued?	No. The email alerts subscription is specific for certain financial disclosure documents only.
Are you able to create alerts for when other companies post our company's profiles, such as their shareholding above 10% or make filings on our behalf for other disclosures such as securities.	No. There is no alert mechanism for when a filing is made against your company profile other than the financial documents alerts subscription.

Filings

Question(s)	Answer
Is it possible to delete filings, for example where the wrong document was filed?	No, it is not possible. If the wrong document was filed, reach out to the Principal Regulator to make the document(s) private on the filing.
Would it be possible to attach more than one document per filing under the filing type Certificate/Notice(s) re Proceeds of Distribution within the Prospectus Supplement filing subtype? We are almost always required to file more than one document under this category.	After attaching the first document and saving the document grouping, select 'Add document details' to attach an additional document.

Question(s)	Answer
There is currently a delay between when a document is filed and when it actually appears on SEDAR+ (it can take hours for document to appear). A lot of the time, the filings show up in the third-party vendor e.g. Avantis and DisclosureNet. When can we expect this to be resolved? **And why has there been no notice sent about this issue given the OSC and CSA are aware of this delay.**	Although this issue is intermittent, the CSA is actively working to address it with the highest priority and will share the resolution timeline once it is known. Please note the following items in our known issues list: • Search and visibility delays • Search for public documents filed on SEDAR+
Does the delay in the public documents affect the SEDAR+ alerts?	Email alerts are not affected by the delay in displaying public documents. They are sent at 8pm ET with a consolidated accurate list of the financial documents submitted that day by 8pm ET.
I recall under the original SEDAR, I could start a submission and "assign" it secondarily to say our law firm. Is this possible on SEDAR+?	Yes, it is possible for multiple agents to file on the same filing. Refer to 'Request Filing Authority for a single filing' and 'Request Filing Authority for Multiple filings'.

Participation Fee Forms

Question(s)	Answer
If a participation fee form is created and saved as a draft on one day, then updated and submitted on a future date, the system does not update the dates in the generated participation fee forms. Is this something that is being worked on?	A ticket has been opened for investigation.

Question(s)	Answer
If you do the calculations and save them and then go and update, the original version gets filed, not the amended version. Can this get fixed?	A defect has been opened for investigation.

Fees/Payments/Pre-Authorized Debit Account (PAD)

Question(s)	Answer
If the payment limit is less than the filing amount does only a portion of the filing get paid or is none paid?	No. The filing cannot be submitted until the payment limit is adjusted on the PAD account.
I know you are actively working on having the payment calculations show before a filing is submitted. Is there an ETA on that? Much appreciated if you could make this a high priority.	We are currently in the analysis and design phase of this enhancement. We will provide a release date when it is on our roadmap.
Our company previously filed the Annual financial statements and paid the fees for our year end June 30th, 2025. **Since then, we have changed our financial year end to December 31st, 2025.** **As we are about to file our Annual financial statements for December, do we need to pay the fees again?**	Please contact the Principal Regulator and the recipient agencies, to validate their fee rules.
How can we update the list of users authorized to maintain the PAD account when someone left the firm?	From your dashboard, select 'Menu', 'Payments', 'My account', click on the reference number link. Select 'Maintain account'. On the 'Authorization' step, it is strongly recommended to select 'A restricted group of the account owner organization' and add at least two users in case one of them is unavailable.

Question(s)	Answer
Can credit cards be used as a payment option?	Thank you for your feedback. For the foreseeable future, credit cards will only be used for Reports of Exempt Distribution. We will include this in our system backlog list for future consideration.
Are you able to show the process of adding a bank account for filing fees to be withdrawn from?	Refer to the FAQ: Create your Pre-Authorized Debit Account
Will SEDAR+ have any functionality to ask for a payment refund if a filing is submitted to a certain provincial regulator by mistake? More specifically for exempt distribution filings.	Thank you for your feedback, we will consider this request for future updates, in consultation with the regulators.

Report of Exempt Distribution (45-106F1)

Question(s)	Answer
When filing a RED report, I have ~~am having~~ to manually enter the details for all~~12~~ Directors and O~~o~~fficers for each filing to generate the ~~which filters into~~ schedule 2. I would love it if I could just upload ~~a~~ schedule 2, ~~-~~like it is the case for schedule 1.	Thank you for your feedback. We have this item on our system backlog list.
Regarding Schedule 2, it would be extremely helpful if the system retained previously entered director and officer information for each issuer, like how the BCSC e-filing system used to function. Recently, I had to complete approximately 23 Form 45-106F1 reports as part of a series of catch-up filings involving various directors and officers. Because the system does not store this information, I had to re-enter the same details repeatedly, which took almost the entire day. Having the ability to reuse previously saved director and officer profiles would greatly improve efficiency and reduce filing time	Thank you for your feedback. We have this item on our system backlog list.
For Report of Exempt Distributions, is there a plan to allow fields to have more characters? Sometimes the limit isn't enough to explain.	Thank you for your feedback, we will consider this request in future updates.
I understand that the default province (ON) for non-North American country inputs om schedule 2 has been repaired. I have seen CA appear on schedule 2 as a default state when a non-North American country was selected (in my specific case, BVI). Has this been repaired as well?	This issue is targeted to be fixed in a future release.

Passwords

Question(s)	Answer
Can you please make the password resets less frequent or extend the time to reset the passwords. Sometimes when on vacation, I cannot make the password reset due date.	It is not possible to make the resets less frequent, as per our information security department. However, we are working on a system improvement, targeted for a future release, to give earlier and more frequent notifications that a reset is required.
Can a change be made to show the password as entered on the reset page, and specifying which old password (specifying the temporary) to be used would be helpful.	Thank you for your feedback, we will consider that in future updates.
Are there future plans to address the issues with password resets? When users' passwords expire, they attempt to reset, but any new passwords are not accepted even when they meet the criteria. Super Users must then send resets for that user or make new accounts entirely.	Thank you for your feedback. We will investigate this issue.

Profiles

Question(s)	Answer
We've having an issue with a specific IFM profile where we can't see the actions tabs (submissions, submitted documents) after the filing is made under the associated fund group and fund profiles. We still have the authorization to submit new filings, but we can't maintain the filing to file amended documents.	A ticket has been opened for investigation.
Is it possible to make the agent authorized rep (AAR) public? Since they need to sign the form to authorize, not sure why it has to be hidden? The issuers do not know who the AAR is nor do they know where the EFA is.	We cannot make the AAR public, but if you contact the CSA Service Desk they will assist you to determine how to update an Issuer's AAR if the information is outdated.
Regarding the FAAF - do we have to submit this on behalf of our client as the filer agent, or can they submit this on their own? We've run into issues of late filings due to not having filing authorization prior to filing	Once you have submitted your request for profile or filing authority, please contact the CSA Service Desk for priority review.
The CUSIP section of a profile does not allow for the inputting of the full CUSIP number.	SEDAR+ requires only the 6-digit alpha-numeric base number.
Is it possible to remove under the Profile details "status", it's always showing as "public". We know that this means that the profile is available to public to view but it confuses the general public that it means "public company".	Thank you for your feedback, we will consider that in future updates.

General

Question(s)	Answer
Is it possible to upload a PDF that is password protected?	No. SEDAR+ will not accept files that are password protected. You can select 'print to PDF' on the document which creates a new, unlocked, document that can then be uploaded
Would it be possible for the system to provide the specific reason why SEDAR+ rejects the upload of a given document?	Thank you for your feedback, we will consider that in future updates.
Can we extend the time we're logged in? I'm constantly having to log in.	As per recommendations from our security team, this cannot be extended beyond the extension already configured in July of 2024 (updated to 45 minutes).
How do we update a profile from Authorized User to Authorized Super User? When I try to update the profile, it doesn't allow me to move forward with the update.	An Authorized Super User can maintain the user account. From your dashboard, select ‘Menu’, ‘Manage users’, Search users’. Select ‘Search’ to view the full list of your organization’s users or enter a specific user’s name, then select ‘Search’. Click on the user’s name link in the search results and then select ‘Maintain user account’.