SEDAR+ AUGUST 2025 UPDATES Q&A SUMMARY

Please note: This Q&A summary is from Information Sessions presented in English.

General

Question(s)	Answer
Our co-worker received an email saying the OSC changed their domain name to OSC.ca. Will all OSC contacts be updated (to the shorter) email address as well?	The email for all OSC staff has changed to the osc.ca domain. If you have recently sent any emails to addresses with osc.gov.on.ca, they will still be redirected for a period of time. However, we encourage you to begin using the new domain immediately. Please note that staff of the CSA IT Systems Office will now have the email domain 'csa-acvm.ca.'
What I haven't seen yet updated is the view button on the password. This is very a very useful tool.	Thank you for your feedback. We have this item on our system backlog list.
Is there any plan to incorporate AI in SEDAR+ or an AI ChatBot for support etc?	Thank you for your feedback, we will consider that in future updates.
Can the website have a "Live Chat" feature so in the event of an issue, users can readily leverage on this avenue to inquire or ask questions and get answers right away?	Thank you for your feedback, we will consider that in future updates. In the meantime, for immediate assistance while you are using SEDAR+, please contact the CSA Service Desk at 1-800-219-5381 to speak to a member of our team Monday – Friday between 7 am to 11 pm ET.
Are there any plans to enable functionality where a filer can pre-schedule submissions? This would be helpful for pre-market filings for earnings release day.	Thank you for your feedback, we will consider that in future updates.
When will drafts be able to be deleted? We have many that won't delete as it says 'in progress' even if it is not. We have reported and	This inability to delete is a known issue. Some of these drafts were removed with our August release. If you still experience this issue, please contact the CSA Service Desk with the draft details (filing type, profile type) to aid with our investigation.

are told to wait until they delete after the time as passed.	

Fees

Question(s)	Answer
I noticed the SEDAR+ regulatory fee guide on the CSA website is not working.	A small adjustment was made to the selection field entry sequence as of August 4. Please try to use the fee guide again paying close attention to the selection field sequence. If you continue to experience a problem, please report it to the CSA Service Desk.
PEI's fee schedule is still outdated. As of November 11, 2024, there is now a fee payable in PEI for all annual information forms including 10-K and 20F.	The fee calculation function in SEDAR+ will be updated in January 2026 to include these regulatory fees. In the interim, the PEI regulator will create an outstanding fee in SEDAR+ upon receipt of the filing for subsequent payment.
I recently found out that SK revoked GO 43-501 (Form 1) and replaced it with Form 37.1 (and had updated their fee schedule). How do we get updated of these changes? **SK fees are as stated in The Securities Regulations - Appendix A Table 1. There are numerous situations where a fee is required such as a fee on the filing of a prospectus, the filing of an exemption application and the filing of annual financial statements.**	Generally, regulators communicate these types of changes to filers. In this instance, the following information is provided from the FCAA of Saskatchewan: The GO 43-501 was codified into our Fee regulations at the same time as the Fund Facts filing fees were implemented in March 2025. There is no change to SEDAR+ as filers will continue to file using the same filing types used under the GO 43-501 – the name of the form has changed. These are base shelf filing fees. Fees are calculated as a percentage of proceeds on debt securities offerings. For equity securities offerings, the $1,250 filing fee still applies. These fees were added to SEDAR+ on launch. There are no annual financial statement fees associated with these filings and no exemption fees. If the same filer is filing an annual financial statement, they would file this under the annual financial statement filing type. The same applies for exemption applications or reports of exempt distribution.

Question(s)	Answer
Is there anything in the works that allows filers to view the fees SEDAR+ calculated for a filing without clicking the "Submit" button? Perhaps a separate button to check for fees so there is no risk of the filing being submitted if a filer is simply looking to check the calculated fees?	Thank you for your feedback. We have this item on our system backlog list.
When will fix this problem - when filing a prospectus supplement, the fee of $1,000 in Manitoba is not being charged - therefore Manitoba Commission must deal with this for an additional payment.	Thank you for your feedback. The CSA Fee Guide has been updated to note that an outstanding fee will be created by the regulator for payment on the filing after submission until SEDAR+ is updated. An email will be sent by the regulator regarding the outstanding fee.
What is the 'Total dollar amount of securities distributed' limit when filing a 45-106F1 annual filing for a money market fund. The amounts owing were in the billions and I was not able to complete the filing in SEDAR+.	The design allows a maximum of 20 characters or over a trillion dollars. A defect has been opened for investigation.
We file regularly documents, but, from time to time, we find that the fees have been calculated for a part of them. Example: we file 10 Notes (2 documents each) and the fees are calculated only for 9 of them. However, all the 10 filings are completed on the website. The missing filing fee might never appear to be paid afterwards.	Please contact the CSA Service Desk with the details (filing type, profile type) to aid with our investigation.

Question(s)	Answer
Can you please comment on what is being done to ensure that fees are calculated correctly on SEDAR+? Almost every filing we have completed over the last year has over calculated the fees we owe, and in some cases, under calculated them. It’s a huge hassle to then have to manually calculate the fees ourselves when we have 100s of line items in each filing receipt to double check, and then to email the regulators about. Are the provincial/territorial securities commissions being engaged to correct this?	We recognize that incorrect fee calculations require filers to take extra steps and take the following steps to keep them up-to-date: • Provincial and territorial securities regulators review their fees for any problems with their fee calculations. • Where needed, quarterly updates to SEDAR+ involve corrections or adjustments to fee calculations. • Filers are encouraged to keep issuer profiles up to date as some fee calculations consider the information in the issuer profiles. This includes the NAICS code or the category of investment fund. Please note that there is a period of adjustment for filings submitted on legacy SEDAR or the OSC electronic filing and BCSC eServices portals and maintained on SEDAR+, as the migrated data didn’t have the detail necessary for the SEDAR+ fee logic to consider previously paid fees. This specific issue has become less prevalent over time.
Will regulator's communicate fee increases ahead of time?	Regulators can change fees in SEDAR+ without the technical assistance of the CSA. When the regulators advise the CSA that they are changing / have changed a fee amount that is paid through SEDAR+, the CSA Service Desk informs Authorized Users and Authorized Super Users by email of the fee change and effective date. We encourage the regulators to let us know in advance so that we send advance notice to SEDAR+ users, in addition to any communication the regulator may send.

Post formal documents

Question(s)	Answer
Why was the submission number search requirement added?	Previously, the system architecture lacked the specific relationship between formal documents and the issuer profiles. For the purposes of transparency and preventing confusion, we added the submission reference search functionality to ensure that formal documents were specifically connected to the profiles to which they pertain.
The need to tag your response letter back to your previous filing, is that something for all prospectuses, or just investment fund filings?	This is required for all filing types.
Just to clarify, we will need to be sure of what the submission number is when posting responding correspondence / formal document?	Yes, that is correct.
Is there a way for the system to automatically generate what that submission is instead of having to go back and try to figure it out?	You must ensure that the selected submission number corresponds exactly to the formal correspondence to which it applies. For example, when submitting a 'First Response Letter' regarding a first amendment to final, you must select one of the submission numbers associated with that amendment, ensuring all 'Investment funds in this submission' are included.
When attaching the formal docs to a previous submission, there are instances where the prior (say pro forma docs) were filed under multiple submissions (for large prospectus) so do we just reference the "earliest" submission number of the filed documents?	You should select the submission where all the "investment funds included in the submission" is selected. The formal document should be applicable to all the investment funds in the submission. There are submissions where the document filed is only applicable to a subset of funds for example, fund facts or material contracts. You should not select that submission.

Question(s)	Answer
How do we know when we add a document for an existing submission whether we submit via "maintain filings" vs "post formal documents" i.e. what is the difference between them?	Formal documents pertain to internal comment and response letters that form communication between the user and the regulator. Formal documents are also receipts issued by the regulators that may be made public as required.
So for internal review by regulators - you use "Post formal document"?	Correct. Documents for internal review by the regulators are considered 'formal documents'.

Profiles

Question(s)	Answer
How often are duplicate profile deletions done? I have had one that I requested be deleted almost a year ago and it's still not deleted.	This is an outstanding issue for SEDAR+. We will advise once a resolution is in place.
It would be incredible if promoters, insiders, officers and control persons of an entity could be entered into the company profile so they automatically generate on the Report of Exempt Distribution - rather than having to enter them every single time. Maybe a warning could pop up reminding the filer to review and update the profile prior to starting the filing--if there are any changes. These don't change very often.	Thank you for your feedback, we will consider that in future updates.

Filings

Question(s)	Answer
About exemptive relief applications for Investment Funds: when adding the funds from the fund groups, we receive both reporting funds and funds that have been terminated. Is it possible to only have the funds that are reporting issuers when the Fund Group is marked as having Reporting Issuers?	Thank you for your feedback, we will consider that in future updates.
Is making the document public a function the filer can do?	No. Only a regulator user can change the document access.
We are having problems in filing the Distribution Certificates. We have tried to add a maximum of 1 or 5 or 10 documents, but with no success; an error appears, the connection interrupts and the filing is not finalized. The problem has been submitted to the Service Desk team. Can you give us an estimation of when this problem might be corrected?	This defect is currently under investigation. No resolution date is available at this time.
When selecting 'add funds from selected group for an exemptive relief application, can we select 'all funds' without click each individual fund?	Yes, there is a ‘select all funds’ option.
When doing the final "Submit", can there be another layer of pop-up window to ask or caution the user if they want to proceed with the filing or submission? This is to give the user the opportunity to re-think or re-check the draft if there is a sudden change. This will also avoid calling the support desk/regulator to make the correction and change the view from public to private.	Thank you for your feedback. We have this item on our system backlog list.

Question(s)	Answer
Has the issue on performance been resolved? In the prior filings we did, we noticed a slowness in the system performance which takes us a while to complete the process especially if we are working on quite a number of funds?	We have made some performance improvements to the system and have ongoing monitoring in place for timely investigation of any performance degradation.
When working on the initial draft, we usually update the name and contact details of the person who saves the initial draft. However, when a reviewer opens the draft, their name is automatically shown as the main contact. This creates confusion about who worked on the initial draft saved on SEDAR+. Due to this, it is difficult to perform reviews by more than one person. Will there be improvement on this as well in terms of retaining the name?	Thank you for your feedback. We have this item on our system backlog list.